

IPW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In the Application of ) Examiner: Not Assigned  
Naoki Hanashima and Reio Mochida ) Group Art Unit: 2864  
Serial No.: 10/810,392 ) Confirmation No.: 8602  
Filed: March 26, 2004 ) Customer No.: 22430  
For: **OPTICAL WAVEGUIDE AND** )  
**METHOD OF FABRICATING** )  
**THE SAME** )  
Atty. Docket No.: WASH5920 )

**INFORMATION DISCLOSURE**  
**STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

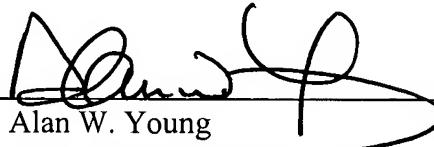
Applicants wish to bring to the attention of the Patent Office the references listed on the attached form PTO/SB/08A and request them to be considered by the Examiner. Copies of the references cited on the attached are enclosed herewith.

This information disclosure statement is being filed under 37 C.F.R. §1.97(b)(3), therefore, no fee is due with this communication.

Respectfully submitted,

Date: August 31, 2004

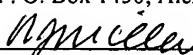
By:

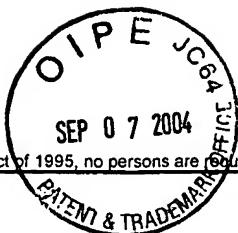
  
Alan W. Young  
Attorney for Applicant  
Registration No. 37,970

YOUNG LAW FIRM, P.C.  
4370 Alpine Rd., Ste. 106  
Portola Valley, CA 94028  
Tel.: (650) 851-7210  
Fax: (650) 851-7232

**CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8**

I hereby certify that these papers are being deposited with the United States Postal Service as first class mail with sufficient postage addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on August 31, 2004.

  
Nita J. Miller



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Shee

1

of 1

<i>Complete if Known</i>	
Application Number	10/810,392
Filing Date	March 26, 2004
First Named Inventor	Naoki Hanashima
Art Unit	2874
Examiner Name	Not Assigned
Attorney Docket Number	WASH5920

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> ~ Number <sup>4</sup> ~ Kind Code <sup>5</sup> (if known)				
	1	JP 09-297237	11/18/1997	Sumitomo Electric Ind Ltd		
	2	JP 07-318739	12/08/1995	Furukawa Electric Co Ltd		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.